

9200 Inventor(s): KADNER, et al.

Appl. No.: 08 / 039,498

or Patent No.

(Atty. Dkt.

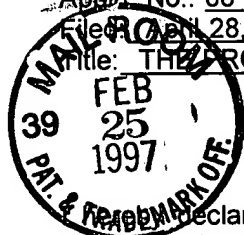
202144 / 33147

Filed April 28, 1993

or Issued:

M# / Client Ref.

Title: THE PROCESS FOR PRODUCING ALUMINUM OXIDE



VERIFIED STATEMENT (DECLARATION)  
SUPPORTING A CLAIM FOR SMALL ENTITY STATUS

I hereby declare that I am making this verified statement to support a claim by for small entity status for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled THE PROCESS FOR PRODUCING ALUMINUM OXIDE

by inventor(s) Martin Kadner, Egbert Brandau, Hans Huschka  
described in

X → [ ] the Specification filed herewith

one → [x] Application No. 08 / 039,498, filed April 28, 1993

box → [ ] Patent No. \_\_\_\_\_, issued \_\_\_\_\_

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AND INTERFERENCES

I hereby declare that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees under section 41(a) and (b) of Title 35, United States Code.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license any of my present rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each (small entity) person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention:

X → [x] there is no such person, concern, or organization.

one → [ ] such persons, concerns or organizations are listed in (A) and (B) below:

(A) FULL NAME of assignee/licensee/grantee/conveyee\* \_\_\_\_\_

ADDRESS \_\_\_\_\_

X proper box: [ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee\* \_\_\_\_\_

ADDRESS \_\_\_\_\_

X proper box: [ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

\*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Egbert Brandau

ADDRESS OF PERSON SIGNING Taunusring 50, D-63755 Alzenau, Germany

SIGNATURE [Signature]

DATE 2-1-97